

**MOST™ Question Set**  
for Demo Organization

based on RSPMI Medicaid Periodic Review from Arkansas RSPMI Medicaid (May 2010)

Comments: Included / Location: All / Provider: All

Standard	Question	Dec 2009	Jan 2010	Feb 2010	Mar 2010	Apr 2010	May 2010	Jun 2010	Jul 2010	Aug 2010	Totals
Custom Question	Are medications properly managed?	100% 1 Case	100% 2 Cases	100% 1 Case	25% 4 Cases	100% 1 Case	100% 2 Cases	100% 2 Cases			77% 13 Cases
Intake 217.000.01 1	Does the intake evaluation determine if treatment in the RSPMI program would be appropriate based on evaluation of the beneficiary's mental condition and based on diagnosis(es)? NOTE: Look for strong documentation around functional impairments as they relate to the diagnosis(es).	100% 1 Case	100% 2 Cases	100% 1 Case	100% 4 Cases	100% 1 Case	100% 1 Case	50% 2 Cases			92% 12 Cases
Intake 217.000.02 2	Does the intake evaluation include clinical data sufficient to support the DSM-IV-TR criteria for the diagnosis(es)? NOTE: The narrative in the Intake Evaluation (Diagnostic Assessment) should include every factor necessary to support the diagnosis per DSM-IV-TR criteria (# of symptoms and time frames). "As Evidenced by..." is a good way to begin the narrative for DSM criteria.  <i>Comment from 56564 Parker-Demo Audit on 03/15/2010: Not met The documentation does not contain the DSM criteria for the diagnoses given. Symptoms and time frames for such must be documented.</i>	100% 1 Case	100% 2 Cases	100% 1 Case	50% 4 Cases	100% 1 Case	100% 1 Case	100% 1 Case			82% 11 Cases
Intake 217.000.03 3	Is the intake evaluation made a part of the record?	100% 1 Case	100% 2 Cases	0% 1 Case	100% 4 Cases	100% 1 Case	50% 2 Cases	100% 2 Cases			85% 13 Cases
Intake 217.000.04 4	Is the intake evaluation performed prior to providing treatment services for each beneficiary being considered for entry into a Rehabilitative Services for Persons with Mental Illness (RSPMI) program?	100% 1 Case	100% 2 Cases	100% 1 Case	100% 4 Cases		100% 1 Case	100% 2 Cases			100% 11 Cases
Intake 217.000.05 5	Is the intake evaluation documented in the medical record within 14 calendar days of the person entering continued care (first billable service)?		100% 2 Cases	0% 1 Case	100% 4 Cases	100% 1 Case	100% 2 Cases	100% 2 Cases			92% 12 Cases
Intake 217.000.06 6	Does the intake evaluation include a treatment certification indicated by signatures on the treatment plan: a qualified Mental Health Professional and/or a Medical Doctor (MD) at a minimum? NOTES: Medical necessity is not established without appropriate signatures. An MD does not have to co-sign the Diagnostic Assessment.	0% 1 Case	100% 1 Case	0% 1 Case	100% 4 Cases	100% 1 Case	100% 2 Cases	100% 2 Cases			83% 12 Cases
Intake 217.000.07 7	Is the intake evaluation conducted by a Mental Health Professional (MHP) qualified by licensure and experience in the diagnosis and treatment of mental illness?	100% 1 Case	50% 2 Cases	0% 1 Case	100% 4 Cases	100% 1 Case	100% 2 Cases	100% 2 Cases			85% 13 Cases
Plan 218.000.01 1	Does the Plan of Care meet medical necessity: is it designed to treat, ameliorate, diminish or stabilize, or maintain remission of symptoms of mental illness that threaten life, and/or cause pain or suffering, resulting in diminished or impaired functional capacity? NOTE: Medicaid wants the client to receive the necessary services (no more or no less). The number of services	100% 1 Case	100% 2 Cases	100% 1 Case	50% 2 Cases	100% 1 Case	50% 2 Cases	100% 2 Cases			82% 11 Cases

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	ordered should be consistent clinically with the diagnosis(es).	1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
Plan 218.000.02 2	Does the Plan of Care describe the treatment regimen-the specific medical and remedial services, therapies, and activities that will be used to meet the treatment objectives? NOTE: Ordered interventions should be described specifically, i.e. , what they will look like and how they will help the client make behavioral changes.	100% 1 Case	100% 2 Cases	100% 1 Case	50% 4 Cases	0% 1 Case	50% 2 Cases	100% 2 Cases			69% 13 Cases
<i>Comment from 56564 Parker-Demo Audit on 03/15/2010: Not met. The ordered interventions should be described in detail. What will CBT look like and how will it help the client?</i>											
Plan 218.000.03 3	Is the Plan of Care individualized: Are goals and objectives based on problems identified in the intake assessment or in subsequent assessments during the treatment process? NOTE: See 218.001 for U21 clients: their goals and objectives must be based on the beneficiary's/guardian's "articulation.	100% 1 Case	50% 2 Cases	100% 1 Case	100% 3 Cases		100% 1 Case	100% 2 Cases			90% 10 Cases
Plan 218.000.04 4	Does the Plan of Care have a projected schedule for service delivery-frequency and duration of each type of planned therapeutic session or encounter? NOTE: Frequencies must be specific or be ranged such as 2-4 times per month.	100% 1 Case	50% 2 Cases		75% 4 Cases	100% 1 Case	100% 2 Cases	100% 2 Cases			83% 12 Cases
<i>Comment from 56564 Parker-Demo Audit on 03/15/2010: Not met. "As needed" (for psych consultation) cannot be used as a frequency. Please be specific or range the frequencies.</i>											
Plan 218.000.05 5	Does the Plan of Care include the type of personnel that will be providing the services?		50% 2 Cases	100% 1 Case	50% 4 Cases		100% 2 Cases	100% 2 Cases			73% 11 Cases
Plan 218.000.06 6	Does the Plan of Care have a projected schedule for completing reevaluations of the beneficiary's condition and updating the plan of care/treatment?		50% 2 Cases	100% 1 Case	50% 4 Cases	100% 1 Case	100% 2 Cases	100% 2 Cases			75% 12 Cases
Plan 218.000.07 7	Does the Plan of Care order the Medicaid screening? NOTE: MD services must be made part of the Plan of Care. SED/SMI clients must see the MD within 45 days of first service; and non-SED/SMI clients must see the MD if still in service after 6 months.		50% 2 Cases	100% 1 Case	67% 3 Cases	100% 1 Case	50% 2 Cases	100% 2 Cases			73% 11 Cases
Plan 218.000.08 8	Is the Plan of Care completed by a Mental Health Professional (MHP) within 14 days of the beneficiary's entering care (first billable service)? NOTE: A Diagnostic Assessment is not required if the only service a client received was Crisis Intervention.		0% 2 Cases	0% 1 Case	75% 4 Cases	100% 1 Case	100% 2 Cases	100% 2 Cases			67% 12 Cases
Plan 218.000.09 9	Is the Plan of Care approved by a psychiatrist or a physician within 14 calendar days of the beneficiary's entering care (first billable service)?		50% 2 Cases	0% 1 Case	100% 3 Cases	100% 1 Case	100% 2 Cases	100% 2 Cases			82% 11 Cases

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		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
Review 218.100.01 1	Does the Periodic Plan of Care document progress toward the goals and objectives? NOTE: Progress, or the lack of progress must be described in detail.	100%	100%	100%	67%	0%	100%	100%			83%
		1 Case	2 Cases	1 Case	3 Cases	1 Case	2 Cases	2 Cases			12 Cases
	<i>Comment from 56564 Parker-Demo Audit on 03/15/2010: Not Met. Although some progress or the lack of progress is described, more detail is necessary.</i>										
Review 218.100.02 2	Does the Periodic Plan of Care document the appropriateness of the rehabilitative services provided? NOTE: If the client is making progress, the services are appropriate. If no progress is being made, documentation must reflect why not and what can be done differently to facilitate change.	100%	50%	100%	50%	100%	100%	100%			77%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
Review 218.100.03 3	Does the Periodic Plan of Care Review document the need for continued participation in the Rehabilitation Services of Persons with Mental Illness (RSPMI) program? NOTE: Continuing functional impairments must be in the documentation to justify continued services.	100%	100%	100%	100%	0%	100%	100%			92%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
Review 218.100.04 4	Is the Periodic Plan of Care Review completed by the MHP at a minimum of every 90 calendar days?	100%	100%	100%	100%	100%	100%	100%			100%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	1 Case	2 Cases			12 Cases
Review 218.100.05 5	Is the Periodic Plan of Care Review approved by a psychiatrist or physician within 14 days of completion by the clinician.	100%	100%	100%	75%	100%	100%	100%			92%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
	<i>Comment from 56564 Parker-Demo Audit on 03/15/2010: Not Met. The psych consult of 02/12/10 changed the DX and an earlier review should have been written to reflect this change.</i>										
Review 218.100.06 6	For 21 and over only: Is the Periodic Plan of Care Review approved by the supervising MD every 90 calendar days?	100%	100%	100%	100%	100%	100%	100%			100%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
Review 218.100.07 7	If the Periodic Plan of Care Review is performed more frequently than 90 calendar days, is there documentation of significant acuity or change in clinical status to require an update? NOTE: Changes in diagnosis(es) by the psychiatrist should trigger an early review. All early reviews should be documented in a progress note.	100%	100%	100%	100%	100%	100%	50%			92%
		1 Case	2 Cases	1 Case	3 Cases	1 Case	2 Cases	2 Cases			12 Cases
Review 218.100.08 8	If the Periodic Plan of Care Review is performed more frequently than 90 calendar days, MD sign the updated plan within 14 days to indicate approval of the plan?	0%	50%	100%	75%	100%	50%	100%			69%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
PDA 224.201.01 1	For U18, does the Psychiatric Diagnostic Assessment (PDA) include an interview of a parent (preferably both), guardian (including DCFS caseworker), and/or the primary caretaker (including foster parents)? Effective date for this regulation was May 1, 2008.	100%	100%	100%	50%	100%	100%	50%			77%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases

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		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
PDA 224.201.02 2	Does the Psychiatric Diagnostic Assessment (PDA) document the beneficiary's understanding of the factors leading to the referral? Effective date for this regulation was May 1, 2008.	100%	100%	100%	75%	100%	100%	100%			92%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
PDA 224.201.03 3	Does the Psychiatric Diagnostic Assessment (PDA) document the presenting problem? Effective date for this regulation was May 1, 2008.	100%	0%	100%	75%	100%	100%	100%			83%
		1 Case	1 Case	1 Case	4 Cases	1 Case	2 Cases	2 Cases			12 Cases
PDA 224.210.04 4	Does the Psychiatric Diagnostic Assessment (PDA) document the relevant life circumstances and psychological factors? Effective date for this regulation was May 1, 2008.	100%	100%	100%	75%	100%	100%	100%			92%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
PDA 224.210.05 5	Does the Psychiatric Diagnostic Assessment (PDA) document the current symptoms and functional impairments? Effective date for this regulation was May 1, 2008.	100%	100%	100%	100%	100%	100%	50%			92%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
PDA 224.210.06 6	Does the Psychiatric Diagnostic Assessment (PDA) document the history of problems, symptoms and functional impairments? Effective date for this regulation was May 1, 2008.	100%	100%	100%	100%	100%	100%	100%			100%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	1 Case			12 Cases
PDA 224. 210.07 7	Does the Psychiatric Diagnostic Assessment (PDA) document treatment history including response to prior treatment interventions? Effective date for this regulation was May 1, 2008.	0%	100%	100%	100%	0%	100%	100%			83%
		1 Case	1 Case	1 Case	4 Cases	1 Case	2 Cases	2 Cases			12 Cases
PDA 224.210.08 8	Does the Psychiatric Diagnostic Assessment (PDA) document medical history? Effective date for this regulation was May 1, 2008.	100%	100%	100%	50%	0%	100%	100%			77%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
PDA 224.210.09 9	If indicated during the Psychiatric Diagnostic Assessment has a medical examination been conducted or an appropriate referral made? Effective date for this regulation was May 1, 2008.	100%	100%	100%	75%	100%	100%	100%			92%
		1 Case	1 Case	1 Case	4 Cases	1 Case	2 Cases	2 Cases			12 Cases
PDA 224.210.10 10	For U21, was a mental status examination conducted in the Psychiatric Diagnostic Assessment (PDA)? Effective date for this regulation was May 1, 2008.	100%	100%	100%	100%	100%	100%	100%			100%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
PDA 224.210.11 11	For U18, did the mental status evaluation in the Psychiatric Diagnostic Assessment (PDA) include a developmental mental status evaluation? Effective date for this regulation was May 1, 2008.	100%	100%	100%	100%	100%	100%	100%			100%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
PDA 224.210.12 12	Does the Psychiatric Diagnostic Assessment (PDA) include a compete multi-axial diagnosis per DSM-IV? Effective date for this regulation was May 1, 2008.	100%	100%	100%	75%	100%	100%	100%			92%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases

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		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
PDA 224.210.13 13	Does the Psychiatric Diagnostic Assessment (PDA) contain sufficient detailed information to substantiate all diagnoses specified in the assessment and Plan of Care? Effective date for this regulation was May 1, 2008. NOTE: All DSM criteria for all diagnoses given, must be in the documentation including # of symptoms, time frames, functional impairments.	100%	100%	100%	75%	100%	100%	100%			92%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
PDA 224.210.14 14	Does the Psychiatric Diagnostic Assessment (PDA) include sufficient detailed information to substantiate all functional impairments listed on SED/SMI certification? Effective date for this regulation was May 1, 2008.	100%	100%	100%	75%		100%	100%			92%
		1 Case	2 Cases	1 Case	4 Cases		2 Cases	2 Cases			12 Cases
PDA 224.210.15 15	Does the Psychiatric Diagnostic Assessment (PDA) contain sufficient detailed information to substantiate all problems or needs to be addressed on the Plan of Care? Effective date for this regulation was May 1, 2008.	100%	100%	100%	100%		50%	100%			92%
		1 Case	2 Cases	1 Case	4 Cases		2 Cases	2 Cases			12 Cases
PDA 224.210.16 16	Is the Psychiatric Diagnostic Assessment (PDA) updated every 12 months at a minimum? Effective date for this regulation was May 1, 200.	100%	50%	100%	100%		100%	100%			92%
		1 Case	2 Cases	1 Case	4 Cases		2 Cases	2 Cases			12 Cases
Progress 226.200.01	Do progress notes describe the behaviors, symptoms, skills, and skill deficits in order to justify the service being delivered? NOTE: There must be a linkage back to the "as evidenced by" section from the Plan of Care.	100%	50%	0%	100%	0%	100%	100%			77%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
Progress 226.200.02	Do progress notes include updates of the beneficiary's progress or lack of progress?	100%	50%	100%	75%	100%	100%	100%			85%
		1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
Progress 226.200.03	Do progress notes include specific services provided (and should have been ordered in the Plan of Care)?	100%	50%	0%	67%		100%	100%			73%
		1 Case	2 Cases	1 Case	3 Cases		2 Cases	2 Cases			11 Cases
Progress 226.200.04	Do progress notes include the relationship of the services to the care regimen described in the Plan of Care? NOTE: There must be congruence between the content of the note and the ordered services.	0%	50%	100%	100%	100%	100%	50%			73%
		1 Case	2 Cases	1 Case	2 Cases	1 Case	2 Cases	2 Cases			11 Cases
Progress 226.200.05	Do progress notes reflect changes in diagnosis or in clinical benchmarks that would represent a change in acuity and/or a need for an updated Plan of Care?	0%	50%	100%	33%	100%	100%	100%			67%
		1 Case	2 Cases	1 Case	3 Cases	1 Case	2 Cases	2 Cases			12 Cases
	<i>Comment from 56564 Parker-Demo Audit on 03/15/2010: Not met. Notes do not reflect the diagnoses change of 02/12/10 and this change should have triggered an early review.</i>										
Progress 226.200.06	Is documentation concise?	0%	50%	100%	67%	100%	100%	100%			75%
		1 Case	2 Cases	1 Case	3 Cases	1 Case	2 Cases	2 Cases			12 Cases
Progress 226.200.07	For Therapeutic Day Treatment (TDT)/Acute Day and Rehabilitation Day Services Only: Do progress notes update beneficiary progress daily or in a weekly summary note that describes the beneficiary's progress	100%	0%	100%	50%		100%	100%			75%
		1 Case	1 Case	1 Case	2 Cases		2 Cases	1 Case			8 Cases

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	in relationship to the Plan of Care/Treatment Plan?	1 Case	2 Cases	1 Case	4 Cases	1 Case	2 Cases	2 Cases			13 Cases
Progress 226.200.08	Is documentation legible?	100% 1 Case	100% 2 Cases	100% 1 Case	100% 4 Cases		100% 2 Cases	100% 2 Cases			100% 12 Cases
Progress 226.200.09	Do progress notes include the date and actual time that services were provided?	100% 1 Case	100% 2 Cases	100% 1 Case	100% 4 Cases		50% 2 Cases	100% 2 Cases			92% 12 Cases
Progress 226.200.10	Do progress notes include the specific setting in which the services were provided? NOTE: For services provided on or after Nov 1, 2008, you must include the name and physical address of the place of service if other than an enrolled site.	100% 1 Case	100% 1 Case	100% 1 Case	100% 3 Cases		50% 2 Cases	100% 2 Cases			90% 10 Cases
Progress 226.200.11	Do progress notes include name and title of the person who provided the service?	100% 1 Case	100% 2 Cases	100% 1 Case	100% 4 Cases		100% 2 Cases	100% 2 Cases			100% 12 Cases
Progress 226.200.12	Does the person providing the service reflect the appropriate professional level in accordance with staffing requirements in 213.300?	100% 1 Case	100% 2 Cases	100% 1 Case	100% 3 Cases	100% 1 Case	100% 2 Cases	100% 2 Cases			100% 12 Cases
Progress 226.200.13	Are progress notes entered daily for Therapeutic Day Treatment (TDT) and Rehabilitation Day Services?	100% 1 Case	50% 2 Cases	100% 1 Case	100% 4 Cases	100% 1 Case	100% 2 Cases	100% 1 Case			92% 12 Cases
Custom Question	Is peer review present for all physicians?	100% 1 Case	100% 2 Cases	0% 1 Case	100% 4 Cases		100% 1 Case	100% 2 Cases			91% 11 Cases
Custom Question	Is there documented evidence that all MHPs have received orientation to the RSPMI Medicaid regulations?		50% 2 Cases	0% 1 Case	75% 4 Cases	100% 1 Case	100% 1 Case	100% 2 Cases			73% 11 Cases

